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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No.: 59036-249737

In re Application of:

Jeff N. Maggioncalda et al.

Serial No.: 09/904,707

Filed: July 12, 2001

For: USER INTERFACE FOR A
FINANCIAL ADVISORY SYSTEM
THAT ALLOWS AN END USER
TO INTERACTIVELY EXPLORE
TRADEOFFS AMONG INPUT
DECISIONS (*as previously
amended*)

) Examiner: DIXON, Thomas A.

) Art Unit: 3629

) Confirmation No.: 4572

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage via first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: July 14, 2004.

FAEGRE & BENSON LLP

Denise E. Voris

Denise E. Voris

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action mailed April 14, 2004, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

Amendment to the Claims is reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 22 of this paper.